

## Notice of References Cited

Application/Control No.  
09/785,254

Applicant(s)/Patent Under  
Reexamination  
GRAFF, RICHARD A.

Examiner  
Nicholas D. Rosen

Art Unit  
3625

Page 1 of 3

### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-4,739,478-A	04-1988	Roberts et al.	705/38
	B	US-4,831,526-A	05-1989	Luchs et al.	705/4
	C	US-5,101,353-A	05-1992	Lupien et al.	705/37
	D	US-5,326,104-A	07-1994	Pease et al.	463/18
	E	US-5,557,517-A	09-1996	Daugherty, III	705/37
	F	US-5,774,880-A	06-1998	Ginsberg	705/36
	G	US-5,787,434-A	07-1998	Nakamura et al.	707/102
	H	US-5,950,175-A	09-1999	Austin	705/35
	I	US-6,347,302-B1	02-2002	Joaoa	705/4
	J	US-			-
	K	US-			
	L	US-			
	M	US-			

### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	GB-2251100-A	06-1992	Great Britain	Barry	-
	O					
	P					
	Q					
	R					
	S					
	T					-

### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Kesler, S., "Following a Map for Tax Preparation," Interface Age, Vol. 9, No. 2, pp. 90-94, February 1994.
	V	Anon., "report of the House-Senate Conference Agreement on the Tax Reform Bill," American Banker, October 20, 1986, Vol. 151, No. 205, page 20.
	W	Peet, S.M., "Briefing," Euromoney, p. 102, April 1990.
	X	Boyle, N., "Chrysler Financial Packages Municipal Leases, Insured by MBIA, for Sale on the Open Market," the Bond Buyer, V 292, No. 28444, page 2, July 25, 1990.

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

**Notice of References Cited**

Application/Control No.

09/785,254

Applicant(s)/Patent Under

Reexamination

GRAFF, RICHARD A.

Examiner

Nicholas D. Rosen

Art Unit

3625

Page 2 of 3

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Graff, R.A., "The Impact of Tax Issues on Real Estate Debt and Equity Separation," Real Estate Review, vol. 20, No. 3, pp. 50-58, 1990.
	V	Anon., "Perpetual Savings Offers Securitized Seconds," Private Placement Reporter, Vol. 1, No. 6, February 18, 1991.
	W	Kurlowicz, T., et al. "New Ground Rules for Trust Freezes," Best's Review, Vol. 92, No. 5, pp. 91, 93, September 1991.
	X	Anon., "Use of Super Seniors Grows; Structure Receives Mixed Reviews," Mortgage-Backed Securities Letter, Vol. VII, No. 15 April 13, 1992.

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

## Notice of References Cited

Application/Control No.

09/785,254

Applicant(s)/Patent Under

Reexamination

GRAFF, RICHARD A.

Examiner

Nicholas D. Rosen

Art Unit

3625

Page 3 of 3

### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Boyle, N., "FSA Withdraws from Real Estate Market, Eliminates Division; Two Employees Go," The Bond Buyer, Vol. 300, No. 28883, page 6, April 20, 1992.
	V	Rothschild, G., "Practical Considerations in Designing and Implementing Asset-Protection Trusts," Journal of Financial Plannin Vol. 5, No. 3, pp. 129-131, July 1992.
	W	Merriam-Webster's Collegiate Dictionary, tenth edition, Merriam-Webster, pages, 988 and 1238, printed 1997.
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.